



T.C.  
BAŞBAKANLIK  
Sermaye Piyasası Kurulu

20-11-2006

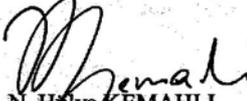
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02.15.1226 22085 15/11/2006  
Konu: FATF'in NCCT listesinde yer alan  
ülkelere uyguladığı yaptırımlar

TÜRKİYE SERMAYE PİYASASI  
ARACI KURULUŞLARI BİRLİĞİ  
Büyükdere Caddesi No:173 1.Levent Plaza A Blok Kat:4  
34394 Levent/İSTANBUL

Mali Suçlar Araştırma Kurulu Başkanlığı'nın (MASAK) 01.11.2006 tarihli yazısında, 11-13.10.2006 tarihleri arasında Vancouver'da gerçekleştirilen FATF Genel Kurul Toplantısını müteakip yayınlanan 13.10.2006 tarihli FATF Başkanlık Özeti'ne göre, İşbirliği Yapmayan Ülke ve Bölgeler (NCCT) listesinde yer alan Myanmar'ın NCCT listesinden çıkarıldığı, buna göre NCCT olarak listelenen bir ülke kalmadığı ifade edilmekte olup konunun Kurulumuza bağlı tüm birimlere duyurulması talep edilmektedir.

Bilgi edinilmesini, konunun tüm üyelerinize bildirilmesini ve yapılan işlemler hakkında Kurulumuza bilgi verilmesini rica ederim.

  
N. Hülya KEMAHLI  
Kurul Başkan Yardımcısı

Ek: MASAK'm 01.11.2006 tarih ve B.07.0.MSK.0.03/5361 sayılı yazısı ve eki

**T.C**  
**MALİYE BAKANLIĞI**  
**Mali Suçları Araştırma Kurulu Başkanlığı**

Sayı : B.07.0.MSK.0.03/ 5361  
Konu: NCTT Listesi

01/11/2006

**SERMAYE PİYASASI KURULU BAŞKANLIĞINA**

Bilindiği üzere FATF tarafından İşbirliği Yapmayan Ülke ve Bölgeler (NCTT) listesi FATF Genel Kurul toplantıları sonunda kamuoyuna duyurulmaktadır.

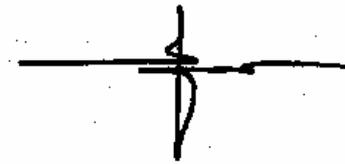
11-13 Ekim 2006 tarihleri arasında Vancouver'da gerçekleştirilen FATF Genel Kurul toplantısının müteakip yayımlanan 13 Ekim 2006 tarihli FATF Başkanlık Özetine göre, "İşbirliği Yapmayan Ülkeler ve Bölgeler (Non-Cooperative Countries and Territories-NCCT)" listesinde yer alan Myanmar karapara aklama ile mücadele konusunda gösterdiği olumlu gelişmeler neticesinde NCCT listesinden çıkarılmıştır. Buna göre "NCCT" olarak listelenen bir ülke kalmamıştır.

Konu ile ilgili Başkanlık Özeti ekte sunulmuştur.

Konunun Başkanlığınıza bağlı tüm birimlere duyurularak, uygulanmasının takip edilmesi hususunda,

Bilgi ve gereğini rica ederim.

06.11.2006\* 32382



**Dr. Genç Osman YARAŞLI**  
Bakan a.  
Başkan V.

**Ek: FATF Başkanlık Özeti (2 sayfa)**



**Chairman's Summary  
Vancouver Plenary, 9-13 October 2006**

**FATF membership**

In a move to strengthen the worldwide fight against money laundering and terrorist financing, the FATF welcomed the Republic of Korea as an observer at this Plenary meeting - the first step in full membership in the FATF. China is already an FATF observer and dialogue on becoming an observer continues with India.

**Assessments of Portugal and Iceland**

The Plenary adopted FATF reports assessing Portugal's and Iceland's anti-money laundering and counter-terrorist financing systems for compliance with global standards; the FATF 40+9 Recommendations. Summaries of the reports will be available on the FATF website<sup>1</sup>, and the full reports will be published there in the coming weeks.

**Myanmar no longer considered non-cooperative**

Myanmar was removed from the list of countries and territories not cooperating in the international fight against money laundering. The FATF has determined that Myanmar has made good progress in implementing its anti-money laundering system.

The FATF will continue to monitor Myanmar during the coming year to ensure it makes further progress. In particular the FATF has advised Myanmar to enhance regulation of the financial sector, including the securities industry, and to ensure that dealers in precious metals and precious stones follow anti-money laundering requirements.

The FATF's NCCT<sup>2</sup> process has been very successful. All 23 jurisdictions that were listed as NCCTs in 2000 and 2001<sup>3</sup> are no longer on the NCCT list as they have made significant progress and many others strengthened their anti-money laundering and counter-terrorist financing systems to ensure they would not be listed by the FATF as non-cooperative.

The FATF remains vigilant on international cooperation issues and will not hesitate to act if a country poses a serious threat to the international effort to combat money laundering and terrorist financing.

<sup>1</sup> [www.fatf-gafi.org](http://www.fatf-gafi.org)

<sup>2</sup> Non Cooperative Countries and Territories.

<sup>3</sup> Bahamas, Cayman Islands, Cook Islands, Dominica, Egypt, Grenada, Guatemala, Hungary, Indonesia, Israel, Lebanon, Liechtenstein, Marshall Islands, Myanmar, Nauru, Nigeria, Niue, Panama, Philippines, Russia, St. Kitts & Nevis, St. Vincent & the Grenadines and Ukraine.

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**Release of two reports on money laundering and terrorist financing methods**

The FATF issued two reports on money laundering methods and vulnerabilities in specific sectors.

The report on new payment technologies (prepaid cards, Internet payment systems, mobile payments, and digital precious metals) found that, while there is a legitimate market demand for these payment methods, money laundering and terrorist financing vulnerabilities exist. Specifically, cross-border providers of new payment methods may pose more risk than providers operating within a jurisdiction. The report recommends continued vigilance to further assess the impact of evolving technologies on cross-border and domestic regulatory frameworks.

The study of corporate vehicles found evidence of their misuse for money laundering / terrorist financing. The report identifies a number of risk factors and concludes that this misuse could be significantly reduced if governments have access to information about the beneficial owner, the source of assets, and the business objective of the company or trust.

These reports will be available on the FATF website in the coming weeks.

Additional typologies studies on money laundering and terrorist financing are underway on various areas including real estate, terrorist financing, value-added-tax fraud and drug trafficking.

The FATF will hold a joint meeting of typologies experts with the Eurasian Group on combating money laundering and terrorist financing (EAG) in Shanghai, China in November 2006.

**Mr Frank Swedlove**  
**President, Financial Action Task Force**  
**Vancouver, 13 October 2006**